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In re PATENT APPLICATION of:

Confirmation No.: 7533

HORI

Group Art Unit: 1743

Appln. No.: 09/867,418

Examiner: Alexander, Lyle

Filed: May 31, 2001

Title: EVALUATION METHOD FOR POLYCRYSTALLINE SILICON

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a PTO-1449 listing the enclosed references. Two of the references were cited in the enclosed Chinese Office Action dated July 23, 2004 in the corresponding Chinese Application 01121891.6.

An English translation of the Yunnan Metallurgy article (Simultaneous Determination of Fe, Al, Ca, B, As and P in Industrial Silicon or Multi-crystal Silicon by ICP-AES) is not available. The following is a concise explanation of relevance of the article as understood by the undersigned:

Page 67, right column, second paragraph, of the article discloses dissolving polycrystalline silicon with  $\text{HNO}_3$ -HF.


Chinese Patent Publication 98126457.3 claims priority to U.S. Applications 069,960 filed December 17, 1997 and 152,520, filed September 14, 1998. U.S. Application 152,520 issued as U.S. Patent 6,172,376. U.S. Patent 6,172,376 is listed and enclosed.

The Examiner is respectfully requested to consider each reference, initial, sign and date the PTO-1449 and return a copy of such with the next Office Action in accordance with MPEP §609.

HORI -- Appln. No. 09/867,418  
Attorney Docket: 061063-0281359

As these references are submitted within three months of the July 23, 2004 Chinese Office, and before the issuance of a final rejection or Notice of Allowance, no fee is due.

Respectfully submitted,  
Pillsbury Winthrop LLP

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September 27, 2004

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Enclosures:  
Chinese Office Action  
PTO-1449  
Cited References

FORM PTO-1449 (modified)  
To: U.S. Department of Commerce  
(PW FORM PAT-1449)  
Patent and Trademark Office

Atty.  
Dkt. No.

M#

Client Ref.

0281359

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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

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Date: September 27, 2004

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of

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Applicant: HORI et al.

Appln. No.: 09/867,418

Filing Date: May 31, 2001

Examiner: Alexander

Group Art Unit: 1743

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR	6,172,376 B1	01/2001	Xu et al.	250	574
	BR					
	CR					
	DR					
	ER					
	FR					
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
	OR	1225451 A	08/1999	China				
	PR							
	QR							
	RR							
	SR							
	TR							
	UR							
	VR							
	WR							
	XR							

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

YR	Chen et al., "Simultaneous Determation of Fe, Al, Ca, B, As and P in Industrial Silicon or Multi-crystal Silicon by ICP-AES," Yunnan Metallurgy, July, 1998			
ZR				
AAR				
BBR				
CCR				

Examiner

Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.